

**DISASTER MANAGEMENT
IN THE U.S. AND CANADA**

DISASTER MANAGEMENT IN THE U.S. AND CANADA

The Politics, Policymaking, Administration
and Analysis of Emergency Management

Second Edition

Edited by

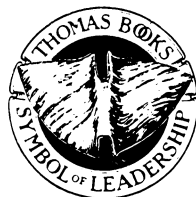
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To my parents
Robert and Joanne Sylves

To my wife
Deb Waugh

FOREWORD

Emergencies constantly test our ability to deal with crisis. The loss of life, services, and businesses have a dramatic impact on people. Fear that disasters may or will strike in the future contributes to stress. For some there is fear of personal suffering and individual property loss. For others, there is fear of business disruption that hurts owners, managers, and employees in general. For others still, there is fear that disaster will irreparably damage public infrastructure, metropolitan economies, or local property and income tax bases.

Emergency managers understand and appreciate these fears. They have advocated disaster preparedness, mitigation, response, and recovery to policymakers and the public with modest success. However, the differing philosophies, priorities, and perceptions of others have created conflict and competition, making it ever more difficult for them to collect, maintain, and organize the people and resources needed to perform emergency management. Today, emergency managers must be masters of anticipatory thinking, exhibit leadership, and exercise artful powers of persuasion in order to overcome incessant turf wars among public agencies and officials. They must use their talents to save emergency management from indifference, complacency, and the daily crush of other public business.

This book builds from an earlier edition, from advances in the literature and field of emergency management, and from recent disaster experience, to offer concepts and lessons to local, state, and provincial governments and organizations that must cope with disasters. A central theme is the need for all levels of government to develop a cooperative effort to plan for, and handle, emergencies. Authors want various organizations, inside and outside government, to work in close harmony and with coordination, because they know no single agency or entity can independently manage disasters or major emergencies.

Emergency management has become a vital profession better able to meet ever-increasing public demands, better able to advance post-disaster

cost recovery and relief, and better able to put communities back together after a disaster. This book is designed and intended to help the reader, whether familiar or unfamiliar with the field, better understand the human impacts emergencies have on us all. It examines laws, policies, regulations, and arrangements of the intergovernmental world of disaster management.

The original 1990 edition of this book had its origins in a 1984 Federal Emergency Management Agency sponsored workshop to promote scholarly understanding of emergency management. There I had the personal and professional benefit of working with Rick Sylves and Bill Waugh, who later included one of my articles in their first edition and now have invited me to write this Foreword. I am a mayor and professor who has had direct experience in my home city dealing with several federally declared disasters. I have long been a student and teacher of emergency management as well. I therefore say with confidence, contributors to this volume draw from policymakers, emergency managers, and academic researchers in a way that advances our knowledge and understanding of disaster management. The book represents a strong contribution to the professional field of emergency management, and its editors and contributors are to be commended.

ALLEN K. SETTLE

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INTRODUCTION

Hurricane Andrew, the Northridge Earthquake, the 1993 Great Midwestern Flood, the New York Twin Towers bombing, and the Oklahoma City Murrah Federal Office Building bombing, have catapulted disaster management into the public policy arena. For North America, the 1990s may not be labeled the decade of disaster, but clearly natural and man-made disasters have become more destructively expensive, in some respects more deadly, and certainly better publicized.

In the mid-to-late 1980s, we went to work on a jointly edited book. Published in 1990, *Cities and Disaster: North American Studies in Emergency Management*, was aimed at bringing U.S. and Canadian scholarly research on emergency management to a growing community of interest. We commissioned experts who were academics, practitioners, or both, to contribute their work to that endeavor. We wanted *Cities* to serve as a reader in undergraduate and graduate courses that addressed emergency management and disaster policy. We wanted to build greater awareness of, and scholarship within, emergency management inside and outside academic circles. We sought to refute these common myths: that emergency management is all-training and no education; that the field of emergency management has few occupants willing to promote knowledge creation and professionalism; that studies of disaster are only interdisciplinary and anecdotal; and, that emergency management is peripheral, narrowly occupational, episodic work. If we achieved our objectives, we were helped by an unfortunate string of mega-disasters and by a fortunate revolution in the political and managerial world of disaster management.

Disaster Management in the U.S. and Canada is a complete overhaul of the 1990 first edition. Most chapters are new and the three which are not, were rewritten and revised. More chapters are added, more disciplinary perspectives are represented, more American and Canadian authors and articles are featured, and more emphasis is given to national, state

and provincial roles in disaster management but without ignoring the continuing centrality of local emergency management.

The heart of this compilation is the intergovernmental relations of disaster management. *Cities and Disaster* concentrated on municipal and local aspects of emergency management. *Disaster Management in the U.S. and Canada* extends beyond this in a variety of directions. Readers will notice that disaster management now has a place in the offices of the President and the Canadian Prime Minister, now is regularly examined and debated in the U.S. Congress and Canadian Parliament, now has a higher public profile thanks to the work of the U.S. Federal Emergency Management Agency and Emergency Preparedness Canada, and now has greater importance and respect in state and provincial governments.

Readers will also recognize that this book gets closer to disaster victims. Several chapters address the post-disaster problems of homeowners, apartment dwellers, and business people. Many chapters provide a sense of what governors, mayors, and other public officials need to understand about disaster management, appreciating that disaster management is generally not their domain of expertise. Emergency responders, planners, information managers, and citizen advisers also hold prominent positions in this study.

We hope this book is used as a text and/or reader in emergency management-related courses, whether in public administration, political science, intergovernmental relations, disaster sociology, organizational studies, or urban studies. We also hope everyone in the vast community of emergency management, whether working at the local, state, provincial, or federal level, working in the corporate sector, or serving in non-profit community organizations, reads and benefits from this book.

The world of North American disaster management has grown more complex and more interdependent. The North American Free Trade Agreement has helped pull Canada, Mexico, and the United States closer together. A commodity of more open trade may be information about disaster management. Perhaps this book is a modest contribution to that exchange.

PART 1

Part 1 sets the stage by reviewing how emergency management has evolved in the 1990s. Problems, ineptitude, and disorganization in the aftermath of Hurricane Andrew created tremendous pressure for reform

of U.S. emergency management. Disasters have become more political than ever before. President Clinton, despite shortcomings in other policy areas, has made improved emergency management an administration goal. He and his FEMA Director, James Lee Witt, have dramatically refashioned federal emergency management.

In Chapter I, Richard T. Sylves surveys these changes through two rounds of National Performance Review. His chapter considers the FEMA Director's organizational relations, managerial pressures, constituency and clientele service obligations, and critical internal agency forces. He shows how FEMA's reform of federal emergency management has affected the states.

In Chapter II, Sylves delves into the controversy surrounding the politics of presidential disaster declarations and he examines the budget battles over who is to pay for the increasing costs of disasters and emergencies. Each controversy embodies conflict between the federal government and the states. Sylves enters the nether world of gubernatorial requests for presidential disaster declarations by considering the record of approvals and turndowns by nine presidents. He surmises that media coverage and political factors have much to do with who wins and who loses.

In Chapter III, William L. Waugh, Jr. and Sylves address a range of intergovernmental emergency management issues. Chapters I and II were written from the national perspective. Chapter III was written from a state and local perspective. The chapter surveys and comments on the strengths and weaknesses of state and local emergency management. All-hazards and integrated emergency management, federal cost-sharing arrangements, movement to block grants, national performance standards, the FEMA press for state disaster trust funds, obstacles to local emergency management, the role of counties in disaster management, disaster victims as "customers," etc., are investigated in policy analytic terms and in terms which appreciate the position of the states and localities.

In Chapter IV, Henry C. Hightower and Michel Coutu investigate Canadian federal law and policy regarding emergency management. They present British Columbia and Quebec provincial emergency preparedness and response arrangements, and they offer an insightful case study of how one Vancouver metro area city has gone about preparing for a catastrophic earthquake. Their work interweaves findings of disaster sociological research with a vivid description of earthquake hazard

vulnerabilities of the case city, its response organizations, and the work of planners in meeting the locality's disaster threat.

PART 2

Part 2 is devoted exclusively to the state of California. No other state has received more presidential declarations of major disaster; no other state has received more federal disaster relief dollars; no other state has experienced more disaster damage loss; and no other state has the variety of disasters and emergencies California does (determined from Sylves' analysis of 42 years of presidential disaster declaration data supplied by the U.S. Federal Emergency Management Agency). Florida's Hurricane Andrew was more devastating and deadly than California's Northridge Earthquake. However, long-term, multi-billion dollar Northridge quake recovery costs far exceed Hurricane Andrew's and a considerably larger share of Andrew's losses were privately insured when compared with Northridge Earthquake losses—meaning Northridge absorbed substantially more public money than did Andrew.

Owing to frequent experience with disaster, California is in many ways on the cutting edge of emergency management. These chapters confirm this claim in many dimensions of management, but they also highlight glaring deficiencies and continuing vulnerabilities.

In Chapter V, Frances E. Winslow presents California's "operational area" approach to urban-suburban-rural emergency preparedness and response. She demonstrates how America's most populous state is attempting to orchestrate a multiplicity of governments reflecting a dizzying array of emergency capabilities and resources. The California Office of Emergency Services' operational area initiative was not joyously embraced in every local jurisdiction. She reviews major implementation problems and considers prospects for success and possible adoption of the "operational area" concept elsewhere.

In Chapter VI, Robert W. Klebs and Sylves grapple with the grass-roots problem of Northridge Earthquake housing repair and family assistance. Klebs walks us through the damaged or demolished homes of Northridge quake survivors. His account is written from the perspective of a FEMA investigator. Klebs' experience conveys a wealth of knowledge regarding FEMA post-disaster inspection work and is a poignant plea for more and better earthquake mitigation in residential single-family, multi-family, and condominium construction. Klebs and Sylves

present the plight of quake victims, many of them displaced from their homes and bewildered by the problems of rebuilding, renovating, or relocating. The chapter also demonstrates the challenge of FEMA's job in verifying loss, validating that assistance funds were spent properly, responding to complaints of disaster assistance applicants, and representing government in a human form to disaster victims. It also demonstrates how high technology advances like grid pads, palmtops, cellular phones, voice mail, paperless inspections, and geographic information systems, are transforming disaster field work.

Building from first person interviews of responders, official documents, and newspaper accounts, Sandra Sutphen's Chapter VII takes us into the field with southern California firefighters to battle a stubborn series of wildfires that constantly encroach into and threaten developed areas. Her account reveals both triumphs and failures of intergovernmental coordination. No chapter better demonstrates the multi-dimensionality of disaster mitigation and preparedness. Homeowners complain that Endangered Species Act rules prevented them from removing flammable vegetation from around their properties and so they blamed the federal government for wildfire damage to their homes. Environmental interests succeed in defeating a fire department request for a new hilltop reservoir and months later residents scream at firefighters who are winding up hose as wildfire flames bear down on their neighborhood. The firefighters had insufficient water and pressure to combat the fire in several areas, in part because the reservoir was never built.

PART 3

Part 3 aims more at the heartland of the U.S. Here the concerns are flood policy, the impact of key utility loss on businesses during and after the 1993 Midwest floods, and Local Emergency Planning Committees of the central U.S.

In Chapter VIII, Beverly A. Cigler revisits U.S. flood policy in a thorough and up-to-date consideration of lessons learned, and not learned, from the 1993 Midwest flood. She explains major changes in the National Flood Insurance Program (NFIP), the growing importance of environmental disaster mitigation, the changing role of the Army Corps of Engineers, the success of FEMA-sponsored home (and town) relocation efforts, and a host of other matters. Cigler laments the continuing low

level of municipal and public participation in the NFIP. Her policy analysis embodies excellent insights.

Chapter IX by Kathleen J. Tierney, Joanne M. Nigg, and James M. Dahlhamer, declares that understanding business vulnerability to disasters is needed for loss estimation, hazard mitigation, disaster preparedness, and recovery planning. It presents early findings from a study of 1079 businesses in Des Moines/Polk County, Iowa, done in the months after the Midwest floods of 1993. It examines business dependence on lifeline utility services, flood damage businesses experienced, lifeline service disruption to both flooded and unflooded businesses, and the impact lifeline service interruption had on business owners. The Tierney-Nigg-Dahlhamer study helps us appreciate the vulnerability and economic loss of Des Moines business owners who suffered interruption of utility lifeline services stemming from the 1993 Midwest floods. The study has many generalizable findings and it refines appreciation and measurement of commercial loss from disaster and its consequences.

Michael K. Lindell, David J. Whitney, Christina Futch, and Catherine S. Clause devote Chapter X to an investigation of the dynamics of citizen participation on Local Emergency Planning Committees, bodies intended to advise public and private officials about the public's concerns, worries, and interests. By surveying LEPCs and their members, the Lindell team explores factors that help account for the success, failure, and viability of these advisory groups. LEPCs are instruments of "grassroots democracy" in emergency management. They serve a variety of important purposes not the least of which is outreach, public education on hazard and disaster vulnerability, and citizen/group representation in matters of emergency preparedness and hazard reduction.

PART 4

Part 4 is dedicated to aspects of municipal disaster management in Canada, in New York City, and in all those jurisdictions responsible for regulating building.

In Chapter XI, Waugh and Ronald John Hy use the Hyatt-Regency Skywalk disaster in Kansas City, Missouri, as a crucible for reviewing the regulation of building for disaster mitigation. They examine the kinds of risks posed by poor building standards and inadequate code enforcement, as well as the consequences of building failures. The Hyatt case raised issues of faulty design, ineffective state licensing of engineers,

and inadequate code enforcement. It is also an object lesson in the price to be paid for building failures. Waugh and Hy contend that lax building regulation and code enforcement have resulted in loss of life and millions in property damage. Recent post-disaster reports underscore the need for states to strongly encourage, if not mandate, appropriate building regulations for construction, seismic risk, flood, wind, and fire. Waugh and Hy favor giving local emergency managers a role in building regulation and they believe that some federal and state financial support may be needed to encourage improved local standard setting and code enforcement.

Chapter XII is a reprise of the original edition's New York City case study. Sylves and Thomas J. Pavlak discuss the Twin Towers terror bombing disaster and they reassess how America's most populous city manages major emergencies. They also inspect changes in the city's emergency management under Mayor Rudolph Giuliani.

In Chapter XIII, Joseph Scanlon again insists that emergency management needs to better appreciate the role of the mayor or local chief executive in both predisaster preparedness planning and in disaster response. His addition of cases from the 1990s further confirms his claim that "active" mayor communities carried out more effective response to their emergencies than "inactive" mayor communities did. "Active" and "inactive" mayor communities were determined on the basis of whether or not mayors were involved in, or measurably in support of, emergency preparedness and response activity *before the incident occurred in their locality*.

PART 5

Part 5 offers general and technical advice about emergency management in the 1990s. It speaks to public administrators struggling to understand the whys, whats, and wherefores of emergency management. It also speaks to information managers, which in disaster management is a very wide circle of people. And, it speaks of the future of disaster management given the challenges posed by the 1990s and beyond.

In Chapter XIV, Nancy K. Grant provides a useful primer for public administrators and officials who may be unfamiliar with emergency management, or worse, reluctant to give it a portion of their time and understanding. She shows the many ways emergency management is a concern of all public administrators. As policymakers have been com-

pelled to address a host of new, old, and proliferating hazards, many federal and state laws, amendments, regulations, and directives have moved emergency management into seemingly foreign areas like zoning, building regulation, municipal budgeting, local public health and welfare, transportation, and so on. Grant succeeds in getting public officials to “wake up and smell the coffee.” She challenges local officials to demand more and better education and training in emergency management from higher governments and from the academic community.

In Chapter XV, Emory Scott Bales and Waugh use the Hurricane Andrew experience to underscore the modern demands of information data-base management. They explain the fundamentals and uses of geographic information systems. They also make a convincing argument for training more disaster managers in the use of GIS and in making it a vital tool of response and recovery. They do a superb job canvassing the ever-growing community of agencies using GIS, they observe that private corporate GIS users cannot be counted on to volunteer their resources and expertise in every disaster, and they paint an excellent picture of how GIS, an amazing computing innovation, is rapidly making itself indispensable to disaster managers.

In Chapter XVI, Waugh summarizes the major findings of this study, identifying disaster mitigation as a primary sub-theme running through the entire volume. He also laments the likely affects of the current federal budgetary crisis, the shrinking influence of FEMA (despite its new-found public popularity and positive image), and the variability of state and provincial emergency management capabilities. Yet, he does see an increasing role for the private sector and the volunteer community, the inevitability of greater individual responsibility for disaster preparedness, and expanded use of public and private insurance as instruments of disaster mitigation and loss reduction. Waugh shows us the international relevance of emergency management and offers prognostications about where disaster management is headed through the remainder of the 1990s and into the next millennium.

We, the editors of this volume, hope that this new retitled edition will make its way into graduate and undergraduate courses that address disaster policy and emergency management. We also hope this study contributes to a growing and important body of scholarship in what is fast becoming a very “hot” professional field.

R. Sylves
W. Waugh

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**DISASTER MANAGEMENT
IN THE U.S. AND CANADA**

PART 1

Chapter I

REDESIGNING AND ADMINISTERING FEDERAL EMERGENCY MANAGEMENT

RICHARD T. SYLVES

INTRODUCTION

In the aftermath of Hurricane Andrew in 1992, the U.S. Federal Emergency Management Agency (FEMA) was highly criticized, if not excoriated, for a poor, mismanaged emergency response. FEMA's Inspector General documented the shortcomings of the agency's response to Hurricane Andrew.¹ A few lawmakers even proposed legislation calling for FEMA's dissolution.² Today, most of those proposals have been withdrawn, side-tracked, or are awaiting further consideration.

Since Hurricane Andrew, significant changes have occurred. Those changes include the 1992 presidential election, the start of the Clinton administration, the appointment of a state emergency director to head FEMA, the push of two National Performance Reviews aimed at reinventing federal administration, and a FEMA Director-led in-house assessment and reorganization. In January 1994, Southern California experienced the Northridge Earthquake. This new mega-disaster gave FEMA officials a chance to demonstrate how much they have improved their operations since Hurricane Andrew.

This chapter delves into the managerial environment of FEMA. It examines the organizational relationships of the FEMA Director; the political pressures brought to bear on that office; the constituency and clientele service obligations of that post; and, the complex intergovernmental forces imposed on that job.

FEMA HISTORY

President Carter formed the Federal Emergency Management Agency April 1, 1979 in order to consolidate a confusing array of federal emergency agencies. The re-organization came during a much-criticized